## JC10 Rec'd PCT/PTO 0 1 NOV 2005

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER MESC:014US see 37 CFR 1.5)

INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/US2004/013487 April 30, 2004 May 1, 2003 TITLE OF INVENTION AN AUTOLOGOUS UPREGULATION MECHANISM ALLOWING OPTIMIZED CELL TYPE-SPECIFIC AND REGULATED GENE EXPRESSION CELLS APPLICANT(S) FOR DO/EO/US Jian-Yun Dong, Semyon Rubinchik, Jan Woraratanadharm Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. (V) The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (HARGING, SPECT OF 1.5) INTERNATIONAL APPLICATION NO. PCT/US2004/013487			ATTORNEY'S DOCKET NUMBER MESC:014US					
BU/ 323 TO 7 PCT/US2004/013487 MESC:01								
20. Other items or information:								
The follo	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY		
21. Basic national fee (37 CFR 1.492(a))				\$ 300.00				
22. 🔽 Exam	nination fee (37 Cl							
	ion prepared by IS	\$						
	US indicates all cl	0						
23. 🗹 Sear	ch fee (37 CFR 1	.492(b))						
			al preliminary examination repose of PCT Article 33(1)-(4)					
Search fee (37 C	FR 1.445(a)(2)) ha	the USPTO as an	\$					
International Searching Authority								
previously communicated to the US by the IB					0			
	TOTAL OF 21, 22	300.00						
□ sequence	e for specification e listing in complia							
electronic The fee is	medium) (37 CF s \$250 for each ad							
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Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	T		
Total claims		- 20 =		x \$50	\$			
Independent claims - 3 =			x \$200 + \$360	\$				
MULTIPLE DEPE	NDENT CLAIM(S	\$						
Applicant clai	ims small entity st	\$ 300.00	<u> </u>					
y ppilodiki old		\$ 150.00						
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claimed priority da	ate (37 CFR 1.492							
TOTAL NATIONAL FEE =					\$ 150.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$			
TOTAL FEES ENCLOSED =					\$ 150.00			
	<u>.</u>		-		Amount to be refunded:	\$		
					Amount to be charged	\$		

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a. 🗸	A check in the amount of \$ 150.0	00 to cover the above	REURETURUM Tees is enclosed.	01 NOV 2005					
ь. 🗆	Please charge my Deposit Account No A duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees						
c. 🗹	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1212 . A duplicate copy of this sheet is enclosed.								
d. 🗀	Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
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SEND ALL CORRESPONDENCE TO:  SIGNATURE SIGNATURE									
Custo	omer No. 32425		MICHAEL R. KRAWZSENEK						
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Mailing	Date: November 1, 2005		REGISTRATION NUMBER						
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